FORM PTO-1390 (Modified) REV 11-2000) U.S. DEPART F COMMERCE PATENT AND TRADEMARK OFFICE Metal 1282-WCG TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) .85 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP00/05874 23 June 2000 (23.06.00) 24 June 1999 (24.06.99) TITLE OF INVENTION METHOD OF CLEANING VALVES OR LINES APPLICANT(S) FOR DO/EO/US Ferdinand FINKELDEI and Walter SCHNAUS Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include itens (5), (6), \bowtie 3. (9) and (24) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) a. 🗆 is attached hereto (required only if not communicated by the International Bureau). b. 🖾 has been communicated by the International Bureau. c. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. \times is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). \boxtimes A copy of the International Preliminary Examination Report (PCT/IPEA/409). (in German and English) 11. \mathbf{X} A copy of the International Search Report (PCT/ISA/210). 12. Items 13 to 20 below concern document(s) or information included: \mathbf{x} An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. \Box 14 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A change of power of attorney and/or address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 20. A second copy of the published international application under 35 U.S.C. 154(d)(4). \boxtimes 21. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 22. \boxtimes Certificate of Mailing by Express Mail 23. \boxtimes Other items or information: a) Two sheets of Drawings (FIGS . 1 and 2), attached to English translation of Application b) Letter to Official Draftsman, enclosing two sheets of Drawings, FIGS. 1 and 2) c) Copy of Request

d) Appendix

U.S. APPLICATION NO. (IF KNOW) INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER PCT/EP00/05874 Metal 1282-WCG 24. The following fees are submitted:. CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO \Box and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 **ENTER APPROPRIATE BASIC FEE AMOUNT =** \$890.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). \$0.00 **CLAIMS NUMBER FILED** NUMBER EXTRA RATE \$18.00 \$0.00 Total claims -20 =0 \$84.00 \$0.00 Х Independent claims - 3 = \$0.00 Multiple Dependent Claims (check if applicable). \$890.00 TOTAL OF ABOVE CALCULATIONS Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2. \$0.00 SUBTOTAL \$890.00 □ 30 Processing fee of \$130.00 for furnishing the English translation later than □ 20 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00 TOTAL NATIONAL FEE \$890.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). \$0.00 TOTAL FEES ENCLOSED \$890.00 Amount to be: \$ refunded charged A check in the amount of to cover the above fees is enclosed. a. X Please charge my Deposit Account No. 14-1263 \$890.00 b. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment c. 14-1263 A duplicate copy of this sheet is enclosed. to Deposit Account No. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status: SEND ALL CORRESPONDENCE TO: William C. Gerstenzang SIGNATURE NORRIS, MCLAUGHLIN & MARCUS, P.A. 220 East 42nd Street, 30th Floor William C. Gerstenzang New York, New York 10017 NAME Tel. No.: 212-808-0700 Fax No.: 212-808-0844 27,552 **REGISTRATION NUMBER** December 17, 2001 DATE





Metal 1282-WCG 99 00 82 US / A 7959

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number <u>EV 015941212US</u> Date of Deposit <u>December 17, 2001</u>

I hereby certify that the following items:

- 1) Transmittal Letter to the US Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 (2 Pages) (duplicate)
- 2) English language translation of International Application consisting of
 - a) Specification (Pages 1-4)
 - b) Claims (Page 5)
 - c) Abstract (Page 6)
 - d) Drawings (2 sheets FIGS. 1 and 2)
- 3) Copy of International Preliminary Examination Report (in English and in German)
- 4) Copy of International Search Report
- 5) Copy of Published Application WO 01/00339 A1
- 6) Information Disclosure Statement
- 7) Form PTO-1449, citing and attaching three (3) references
- 8) Preliminary Amendment (annexing marked-up copies of amended claims)
- 9) Letter to the Official Draftsman (attaching 2 sheets of Drawings FIGS. 1 and 2)
- 10) Second copy of English language translation of the International Application
- 11) Second copy of Published Application WO 01/00339 A1
- 12) Copy of PCT Request
- 13) Appendix citing Title, List of Inventors, Claim to Priority, and Certificate of Mailing

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

NORRIS, McLAUGHLIN & MARCUS, P.A.



Metal 1282-WCG 99 00 82 US / A 7959

APPENDIX

TITLE:

METHOD OF CLEANING VALVES OR LINES

LIST OF INVENTORS:

- 1. Ferdinand FINKELDEI
- 2. Walter SCHNAUS

CLAIM TO PRIORITY:

Priority is hereby claimed under 35 USC 119 on the basis of German Application Serial No. 199 28 859.7, filed on June 24, 1999.

"Express Mail" Mailing Label No. EV 015941212US

Date of Deposit: December 17, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX PCT, Hon. Assistant Commissioner for Patents, Washington, D.C. 20231.

NORRIS, MOLAUGHLIN & MARCUS, P.A.

р.,

JC03 Rec'd PCT/PTO 1 7 DEC 2001

Attorney Docket No.: Metal 1282-WCG

: 99 00 82 US / A 7959

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Ferdinand FINKELDEI and Walter SCHNAUS

PCT Application No.

PCT/EP00/05874

For

METHOD OF CLEANING VALVES OR LINES

Serial No.

To Be Assigned

Filed

Herewith

Art Unit

To Be Assigned

Examiner

To Be Assigned

December 17, 2001

BOX PCT

Hon. Assistant Commissioner For Patents Washington, D.C. 20231

Sir:

LETTER TO THE OFFICIAL DRAFTSMAN

Submitted herewith for entry and approval are two (2) sheets of formal drawings (FIGS. 1and 2) in the above-referenced application.

Respectfully submitted,

NORRIS, McLAUGHLIN & MARCUS, P.A.

William C. Gerstenzang

Reg. No. 27,552

WCG:gb

Enc. - Formal Drawings (2 sheets)

220 East 42nd Street

30th Floor

New York, New York 10017 Phone: (212) 808-0700

Fax: (212) 808-0844

I hereby certify that this paper is being deposited with the United States Postal Service as Express Mail, Label No. EV 015941212US to: BOX PCT, Hon. Assistant Commissioner for Patents, Washington, D.C. 20231 on December 17, 2001.

Norrié McLaughlin & Marcus, F